

# Baseband Digital System (4)

LC 3-6, 3-7, 3-8

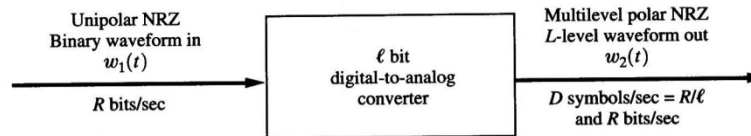
Lecture 15, 2008-11-4

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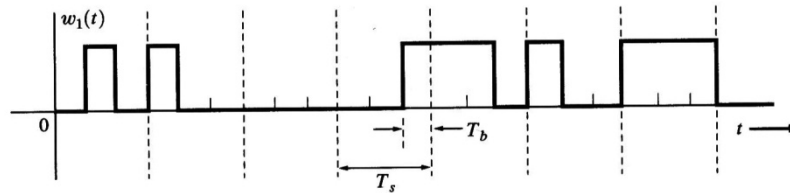
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- Spectral Efficiency
- Inter-symbol Interference
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- Differential Pulse Code Modulation
- Delta Modulation

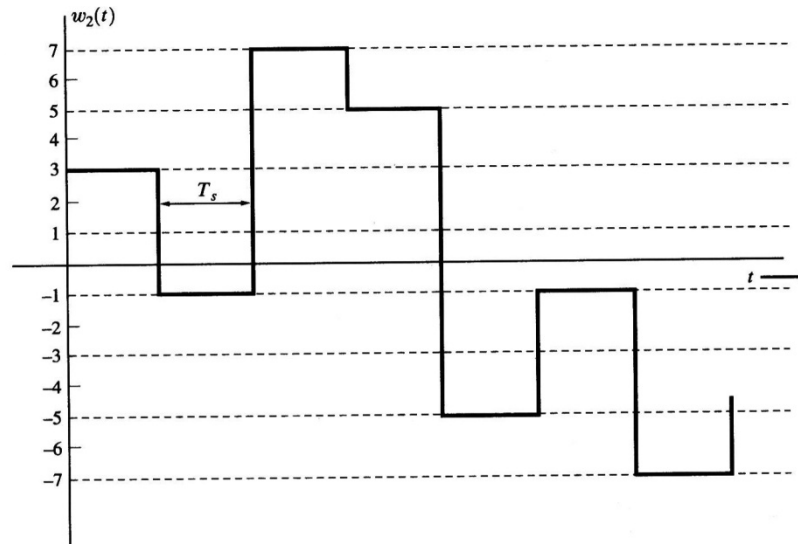
# Multilevel NRZ



(a)  $l$  Bit Digital-to-Analog Converter



(b) Input Binary Waveform,  $w_1(t)$



(c)  $L = 8 = 2^3$  Level Polar NRZ Waveform Out

**Figure 3-22** Binary-to-multilevel polar NRZ signal conversion.

$$R(0) = \sum_{i=1}^l (a_n)_i^2 P_i = \frac{1}{8} \sum_{i=1}^8 (a_n)_i^2 = \frac{1}{8} [7^2 + 5^2 + 3^2 + 1^2 + (-1)^2 + (-3)^2 + (-5)^2 + (-7)^2] = 21$$

$$R(k) = \sum_{i=1}^l (a_n a_{n+k})_i P_i = \frac{1}{64} \sum_{i=1}^l (a_n a_{n+k})_i$$

$$= \frac{1}{64} [7(7+5+3+1-1-3-5-7) + 5(7+5+3+1-1-3-5-7) + \dots + (-7)(7+5+3+1-1-3-5-7)]$$

$$= 0, \quad k \neq 0$$

For rectangular pulses  $f(t) = \Pi\left(\frac{t}{T_s}\right) \leftrightarrow F(f) = T_s \text{Sa}(\pi f T_s)$

$$P_{8\text{-level NRZ}}(f) = \frac{|F(f)|^2}{T_s} \sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s} = \frac{|T_s \text{Sa}(\pi f T_s)|^2}{T_s} 21 = 21 T_s \text{Sa}^2(\pi f T_s) = 63 T_b \text{Sa}^2(3\pi f T_b)$$

$$B_{null} = \frac{1}{T_s} = D = \frac{R}{l}$$

For sinc pulses:  $P_{8\text{-level NRZ}}(f) = 21 T_s \Pi\left(\frac{f}{1/T_s}\right)$ ,  $B_{abs} = \frac{1}{2T_s} = \frac{D}{2} = \frac{R}{2l}$  (dimensionality theorem)

- In general, for the case of a multilevel polar NRZ signal with rectangular pulse shape

$$P_{\text{multilevel NRZ}}(f) = K S a^2 (l \pi f T_b)$$

$$B_{\text{null}} = \frac{R}{l}$$

- Multilevel signaling is used to reduce the bandwidth of a digital signal compared with the bandwidth required for binary signaling.

# Spectral Efficiency

- The spectral efficiency of a digital signal is given by the number of bits per second of data that can be supported by each hertz of bandwidth. That is,

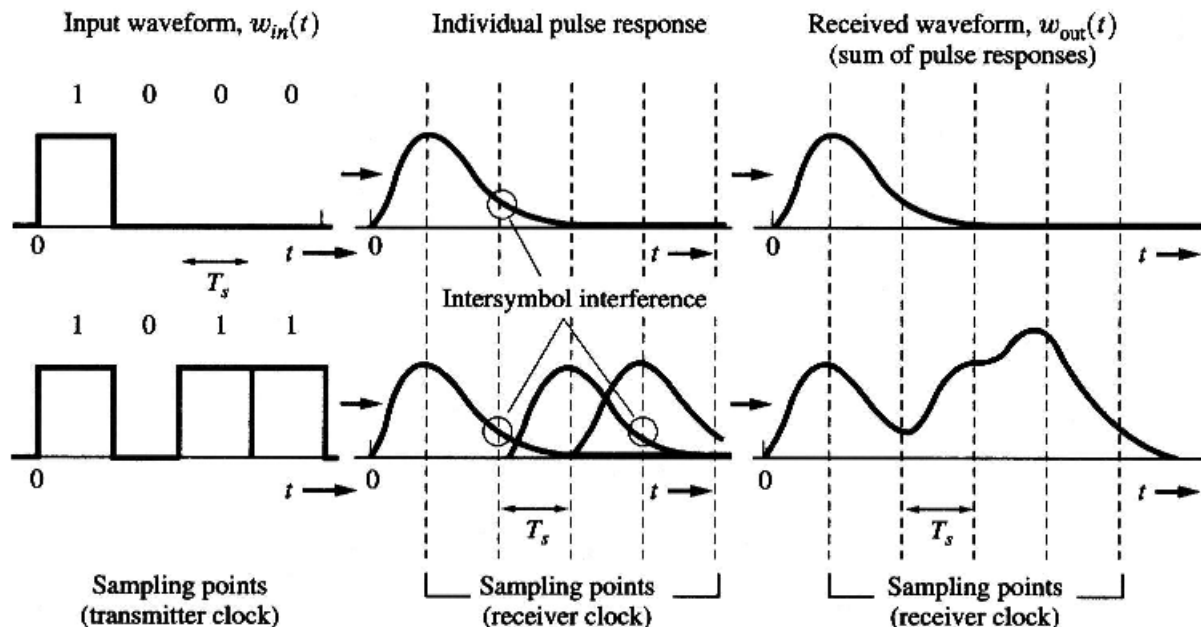
$$\eta = \frac{R}{B} \text{ (bits/s)/Hz}$$

**TABLE 3-6 SPECTRAL EFFICIENCIES OF LINE CODES**

<b>Code Type</b>	<b>First Null Bandwidth (Hz)</b>	<b>Spectral Efficiency <math>\eta = R/B</math> [(bits/s)/Hz]</b>
Unipolar NRZ	$R$	1
Polar NRZ	$R$	1
Unipolar RZ	$2R$	$\frac{1}{2}$
Bipolar RZ	$R$	1
Manchester NRZ	$2R$	$\frac{1}{2}$
Multilevel polar NRZ	$R/\ell$	$\ell$

# Intersymbol Interference

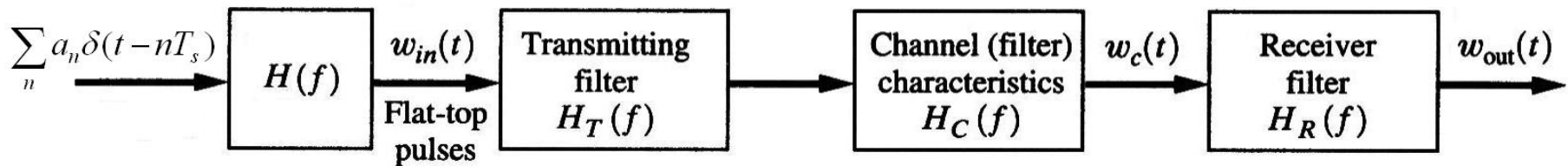
- The absolute bandwidth of rectangular pulses is infinity. If these pulses are filtered improperly as they pass through a communication system, they will spread in time, and the pulse for each symbol may be smeared into adjacent time slots and cause intersymbol interference (ISI).



# Baseband Pulse Transmission System

- Consider a digital signaling system as shown below, in which the flat-topped signal at the input is

$$\begin{aligned}w_{in}(t) &= \sum_n a_n h(t - nT_s) \\ &= \sum_n a_n [h(t) * \delta(t - nT_s)] = h(t) * \left[ \sum_n a_n \delta(t - nT_s) \right]\end{aligned}$$





- The output of the system is

$$\begin{aligned}w_{out}(t) &= h_R(t) * h_C(t) * h_T(t) * w_{in}(t) \\ &= h_R(t) * h_C(t) * h_T(t) * h(t) * \left[ \sum_n a_n \delta(t - nT_s) \right] \\ &= h_e(t) * \left[ \sum_n a_n \delta(t - nT_s) \right] = \sum_n a_n h_e(t - nT_s)\end{aligned}$$

$h_e(t)$ : equivalent impulse response of the overall system

- The equivalent system transfer function is

$$H_e(f) = H(f)H_T(f)H_C(f)H_R(f)$$

- When the equivalent system transfer function is chosen to minimize the ISI, the receiver filter is called an **equalizing filter**.

$$H_R(f) = \frac{H_e(f)}{H(f)H_T(f)H_C(f)}$$

- Adaptive filter and Preambles

# Nyquist's First Method

$$h_e(kT_s + \tau) = \begin{cases} C, & k = 0 \\ 0, & k \neq 0 \end{cases}$$

$k$  : interger

$T_s$  : symbol interval

$\tau$  : the offset in the receiver sampling clock times compared with the clock times of the input symbols

$C$  : is nonzero constant

$kT_s + \tau$  : sampling times

$$w_{in}(t) = ah(t) = h(t) * [a\delta(t)] \Rightarrow w_{out}(t) = ah_e(t) \Rightarrow w_{out}(kT_s + \tau) = \begin{cases} aC, & k = 0 \\ 0, & k \neq 0 \end{cases}$$

The sinc function satisfies Nyquist's first criterion for zero ISI

$$h_e(t) = Sa\left[\frac{\pi(t-\tau)}{T_s}\right] = Sa[\pi f_s(t-\tau)] \leftrightarrow H_e(f) = \frac{1}{f_s} \Pi\left[\frac{f}{f_s}\right] e^{-j2\pi f\tau}$$

$$B = f_s / 2$$

$$D = f_s = 2B$$

# Practical Difficulties of Sa()

- The overall amplitude transfer characteristic  $H_e(f)$  has to be flat over  $-B < f < B$  and zero elsewhere. This is physically unrealizable.  $H_e(f)$  is difficult to approximate because of the steep skirts in the filter transfer function at  $f = \pm B$
- The synchronization of the clock in the decoding sampling circuit has to be almost perfect, since the  $\text{sa}(x)$  pulse decays only as  $1/x$  and is zero in adjacent time slots only when  $t$  is at the exactly correct sampling time. Thus, inaccurate synchronization will cause ISI.

# Raised Cosine-Rolloff filter

$$H_e(f) = \begin{cases} 1, & |f| < f_1 \\ \frac{1}{2} \left\{ 1 + \cos \left[ \frac{\pi(|f| - f_1)}{2f_\Delta} \right] \right\}, & f_1 < |f| < B \\ 0, & |f| > B \end{cases}$$

$B$ : absolute bandwidth,  $f_0$ : 6-dB bandwidth  $\Leftrightarrow H_e(f_0) = \frac{1}{2}$

$$f_\Delta = B - f_0, \quad f_1 = f_0 - f_\Delta$$

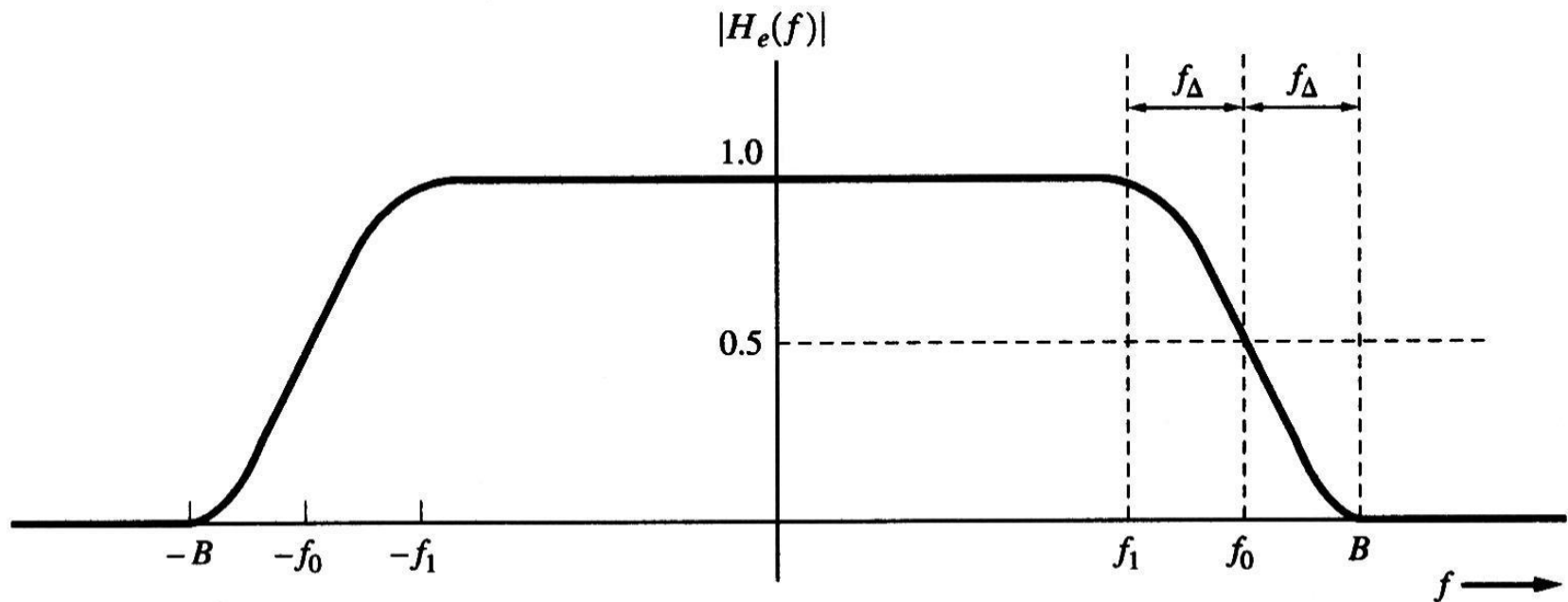
$$r = \frac{f_\Delta}{f_0}: \text{rolloff factor}$$

$$h_e(t) = 2f_0 \text{Sa}(2\pi f_0 t) \frac{\cos 2\pi f_\Delta t}{1 - (4f_\Delta t)^2}$$

The raised cosine-rolloff filter satisfies Nyquist's first criterion for zero ISI if

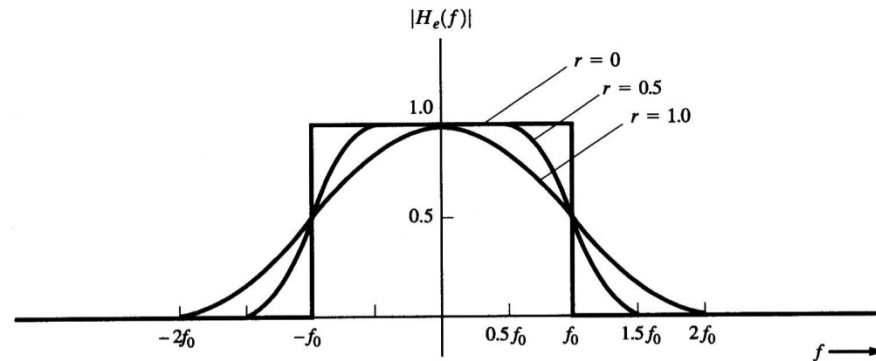
$$D = 1/T_s = 2f_0 = \frac{2B}{1+r}$$

# Raised Cosine-Rolloff Filter

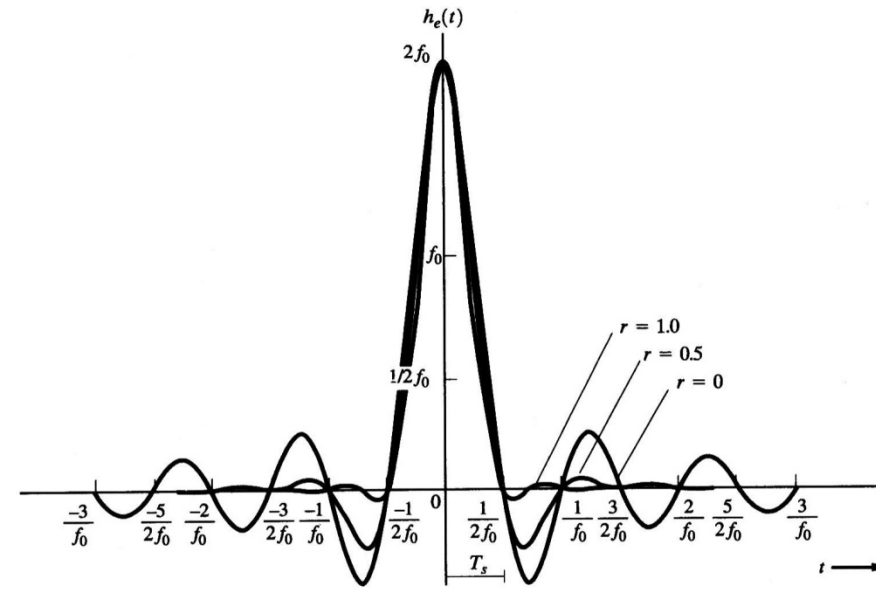


**Figure 3-25** Raised cosine-rolloff Nyquist filter characteristics.

# Frequency and Time Response



(a) Magnitude Frequency Response



(b) Impulse Response



Figure 3-26 Frequency and time response for different rolloff factors.

# Theorem

- A filter is said to be a Nyquist filter if the effective transfer function is

$$H_e(f) = \begin{cases} \Pi\left(\frac{f}{2f_0}\right) + Y(f), & |f| < 2f_0 \\ 0, & f \text{ elsewhere} \end{cases}$$

where  $Y(f)$  is a real function that is even symmetric about  $f = 0$ ; that is,

$$Y(-f) = Y(f), \quad |f| < 2f_0$$

and  $Y(f)$  is odd symmetric about  $f = f_0$ ; that is,

$$Y(-f + f_0) = -Y(f + f_0), \quad |f| < f_0$$

In time domain,

$$h_e(t) = 2f_0 \text{Sa}(2\pi f_0 t) + j2 \sin 2\pi f_0 t \int_{-f_0}^{f_0} Y(f_1 + f_0) e^{j2\pi f_1 t} df_1$$

Then there will be no ISI at the system output if the symbol rate is

$$D = f_s = 2f_0$$

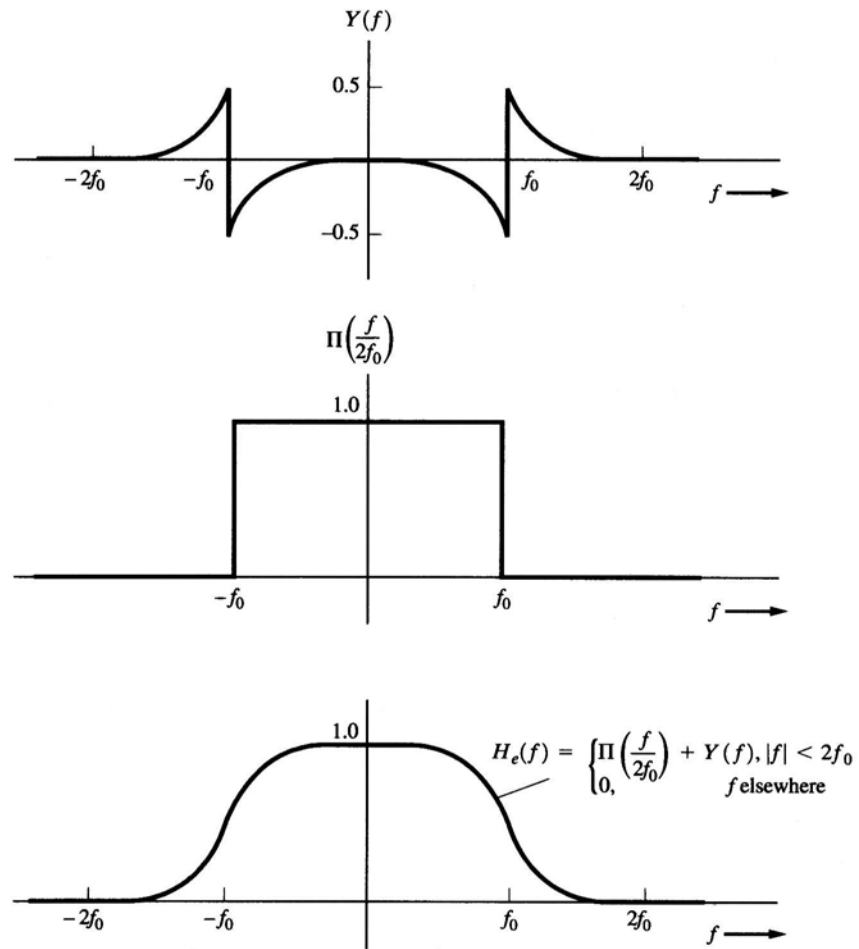


Figure 3-27 Nyquist filter characteristic.



# Matched Filter

- The filter that minimizes the effect of channel noise is the **matched filter**.
- Unfortunately, if a matched filter is used for  $H_R(f)$  at the receiver, the overall filter characteristic,  $H_e(f)$ , will usually not satisfy the Nyquist characteristic for minimum ISI.
- For the case of Gaussian noise into the receiver, effects of both ISI and channel noise are minimized if the transmitter and receiver filters are designed so that

$$|H_T(f)| = \frac{\sqrt{|H_e(f)|} [\mathcal{P}_n(f)]^{1/4}}{\alpha |H(f)| \sqrt{|H_C(f)|}} \quad \text{and} \quad |H_R(f)| = \frac{\alpha \sqrt{|H_e(f)|}}{\sqrt{|H_C(f)|} [\mathcal{P}_n(f)]^{1/4}}$$

where  $\mathcal{P}_n(f)$  is the PSD for the noise at the receiver input

$\alpha$  is an arbitrary positive constant

$H_e(f)$  is selected from any appropriate frequency response characteristic that satisfies Nyquist's first criterion

# Homework

- LC 3-41, 3-44, 3-48, 3-50

