

Baseband Digital System (3)

LC 3-5

Lecture 14, 2008-10-31

Contents

- Digital signal representation
- Binary and multilevel signals
- Line codes and their Spectra
- Spectral efficiency

Digital Signaling

- Mathematical Representation of the waveform
- Estimation of Bandwidth
- Voltage (or current) waveform for digital signals

$$w(t) = \sum_{k=1}^N w_k \varphi_k(t) \quad 0 < t < T_0$$

$w(t)$: PCM word or message

w_k : digital data

$\varphi_k(t)$: orthogonal functions

N : number of dimensions

T_0 : message time span

- Example: Message 'X' from a digital source - code word "0001101"

$$w_k : w_1 = 0 \ w_2 = 0 \ w_3 = 0 \ w_4 = 1 \ w_5 = 1 \ w_6 = 0 \ w_7 = 1$$

$$\{w_1, w_2, w_3, w_4, w_5, w_6, w_7\} = \{0001101\}$$

$$N = 7$$

- Baud (symbol rate)

$$D = N/T_0 \text{ symbols/sec}$$

N : number of symbols (dimensions) used in T_0 sec

- Bit rate (information rate)

$$R = n/T_0 \text{ bits/sec}$$

N : number of bits sent in T_0 sec

■ Binary signal vs. multilevel signal

$$w_k \begin{cases} \text{binary (two) values - binary signal} \\ \text{more than two values - multilevel signal} \end{cases}$$

■ How to detect the data at the receiver? (Matched filter detection)

$$w_k = \frac{1}{K_k} \int_0^{T_0} w(t) \varphi_k^*(t) dt; \quad k = 1, 2, \dots, N$$

$w(t)$: waveform at the receiver input

$\varphi_k(t)$: orthogonal functions

Orthogonal Vector Space

$$\mathbf{w} = \sum_{j=1}^N w_j \varphi_j \quad \text{or}$$

$$\mathbf{w} = (w_1, w_2, w_3, \dots, w_N)$$

\mathbf{w} : N - dimensiona l vector

N : dimensiona l vector

$\{\varphi_j\}$: orthogonal functions and would be called orthonorma l functions if

$$K_j = \int_0^{T_0} \varphi_j(t) \varphi_j^*(t) dt = \int_0^{T_0} |\varphi_j(t)|^2 dt = 1$$

Example

- This 3-bit (binary) signal could be directly represented by

$$s(t) = \sum_{j=1}^3 d_j p \left[t - \left(j - \frac{1}{2} \right) T \right] = \sum_{j=1}^3 d_j p_j(t)$$

$p_j(t)$: orthogonal functions

$$p_j(t) = \begin{cases} 5, & (j-1)T < t < jT \\ 0, & t \text{ otherwise} \end{cases}$$

$$\mathbf{d} = (d_1, d_2, d_3) = (1, 0, 1)$$

- The orthonormal series representation

$$s(t) = \sum_{j=1}^{M=3} s_j \varphi_j(t)$$

$\varphi_j(t)$: orthonormal functions

$$\int_0^{T_0} \varphi_j(t) \varphi_j^*(t) dt = 1$$

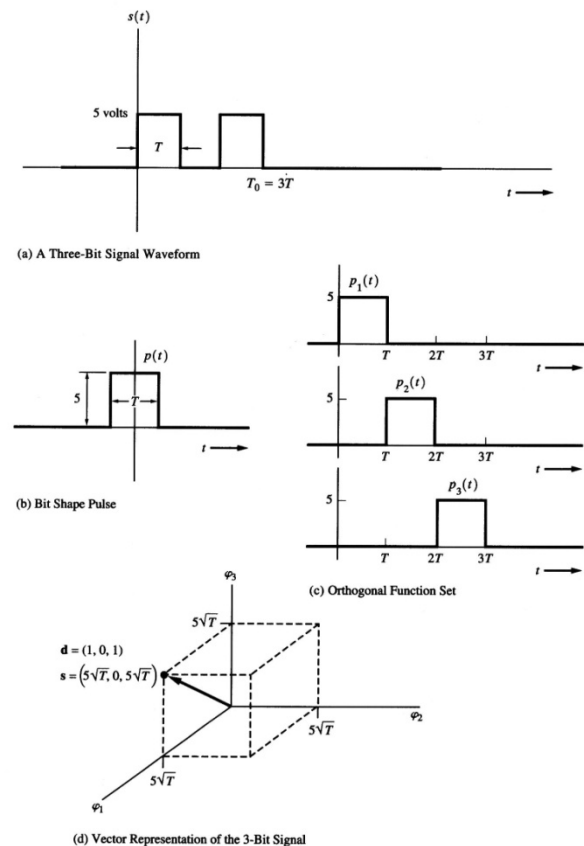


Figure 3-11 Representation for a 3-bit binary digital signal.

Example (con't)

$$\text{Let } p_j(t) = A\varphi_j(t)$$

$$K_j = \int_0^{T_0} p_j(t)p_j^*(t)dt = A^2 \int_0^{T_0} \varphi_j(t)\varphi_j^*(t)dt = A^2$$

$$A = \sqrt{K_j}$$

$$\varphi_j(t) = \frac{p_j(t)}{\sqrt{K_j}} = \frac{p_j(t)}{\sqrt{\int_0^{T_0} p_j(t)p_j^*(t)dt}} = \frac{p_j(t)}{\sqrt{\int_0^{T_0} p_j^2(t)dt}} = \frac{p_j(t)}{5\sqrt{T}} = \begin{cases} \frac{1}{\sqrt{T}}, & (j-1)T < t < jT \\ 0, & t \text{ otherwise} \end{cases}$$

$$s(t) = \sum_{j=1}^3 s_j\varphi_j(t) = \sum_{j=1}^3 d_j p_j(t)$$

$$s_j = d_j \frac{p_j(t)}{\varphi_j(t)} = d_j \sqrt{K_j} = d_j (5\sqrt{T})$$

$$\mathbf{s} = \mathbf{d}(5\sqrt{T}) = (1, 0, 1)(5\sqrt{T}) = (5\sqrt{T}, 0, 5\sqrt{T})$$

Bandwidth Estimation

- The bandwidth of the waveform $w(t)$ is

$$B \geq \frac{N}{2T_0} = \frac{1}{2} D$$

If the $\varphi_k(t)$ are the sinc type, the lower bound will be achieved.

Example

The number of messages $M = 256$

The number of bits $n = \log_2 M = 8$ bits

The time span $T_0 = 8$ ms

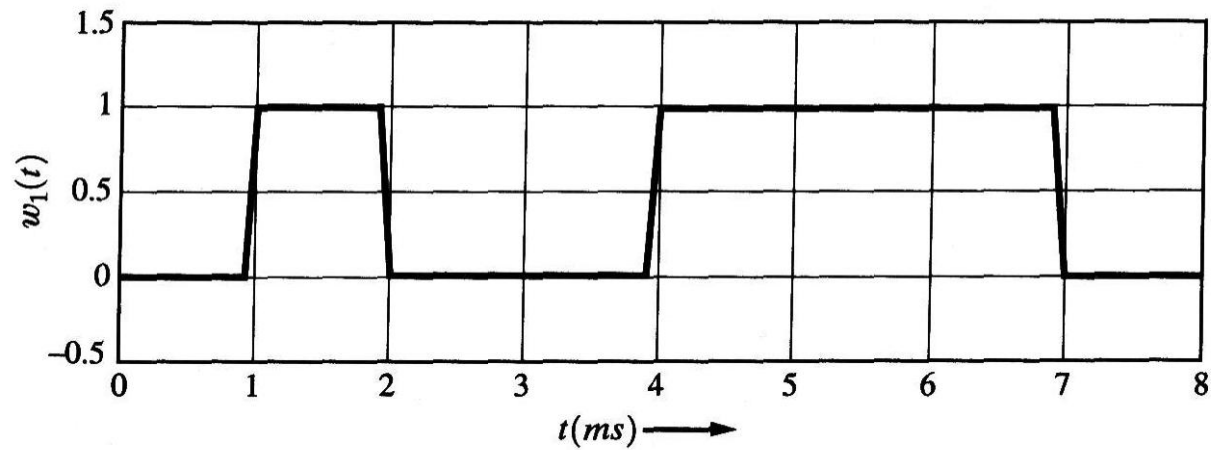
$$w(t) = \sum_{k=1}^N w_k \varphi_k(t) \quad 0 < t < T_0$$

N : number of symbols, $N = n$ for binary signaling

A particular message corresponding to the code word 01001110

$$w_1 = 0, w_2 = 1, w_3 = 0, w_4 = 0, w_5 = 1, w_6 = 1, w_7 = 1, w_8 = 0$$

■ CASE 1. RECTANGULAR PULSE ORTHOGONAL FUNCTIONS



(a) Rectangular Pulse Shape, $T_b = 1$ ms

$$\varphi_k(t) = \Pi\left(\frac{t - (k - \frac{1}{2})T_s}{T_s}\right)$$

$$T_b = \frac{T_0}{n} = \frac{8}{8} = 1 \text{ ms}$$

$$R = \frac{1}{T_b} = \frac{n}{T_0} = 1 \text{ kbits/s}$$

$$D = \frac{1}{T_s} = \frac{N}{T_0} = \frac{n}{T_0} = \frac{1}{T_b} = R = 1 \text{ kbaud}$$

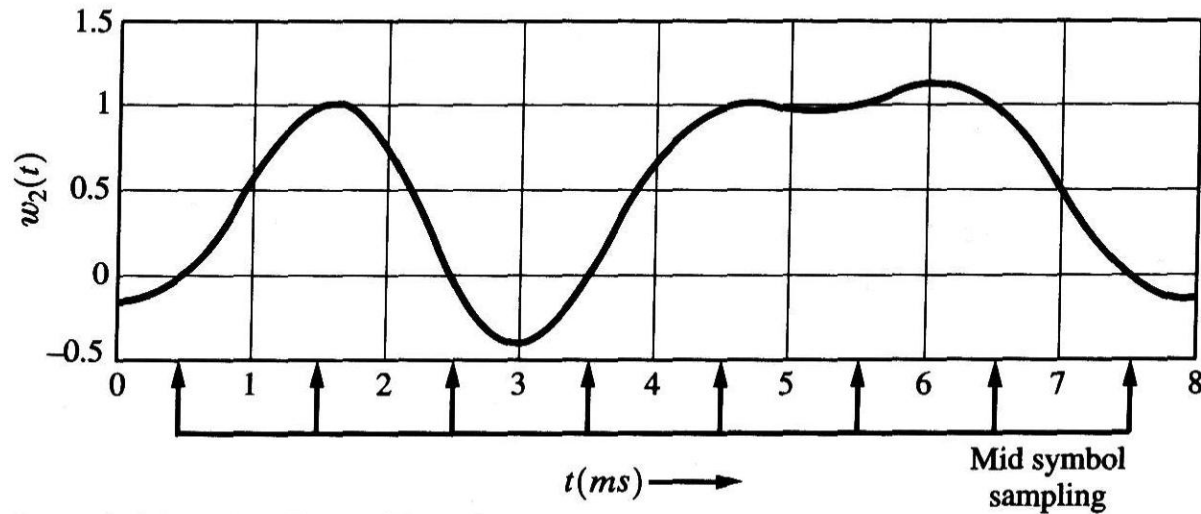
$$B_{null} = \frac{1}{T_s} = D = 1 \text{ kHz}$$

$$K_k = \int_0^{T_0} \varphi_k(t) \varphi_k^*(t) dt = \int_{(k-1)T_s}^{kT_s} (1 \times 1) dt = T_s$$

$$w_k = \frac{1}{K_k} \int_0^{T_0} w(t) \varphi_k^*(t) dt = \frac{1}{T_s} \int_{(k-1)T_s}^{kT_s} w(t) dt = w(\tau)$$

τ : sampling time, $(k-1)T_s < \tau < kT_s$

■ CASE 2. SINC PULSE ORTHOGONAL FUNCTIONS



(b) $\sin(x)/x$ Pulse Shape, $T_b = 1$ ms

Figure 3-12 Binary signaling (computed).

$$\varphi_k(t) = Sa\left(\frac{\pi(t - (k - \frac{1}{2})T_s)}{T_s}\right)$$

$$T_b = \frac{T_0}{n} = \frac{8}{8} = 1 \text{ ms}$$

$$R = \frac{1}{T_b} = \frac{n}{T_0} = 1 \text{ kbits/s}$$

$$D = \frac{1}{T_s} = \frac{N}{T_0} = \frac{n}{T_0} = \frac{1}{T_b} = R = 1 \text{ kbaud}$$

$$B_{abs} = \frac{D}{2} = 500 \text{ Hz} \quad (\text{Fig. 2.6})$$

$$w(t) = \sum_{k=1}^N w_k \varphi_k(t) = \sum_{k=1}^N w_k Sa\left(\frac{\pi(t - (k - \frac{1}{2})T_s)}{T_s}\right)$$

$$w_k = w[(k - \frac{1}{2})T_s] = w(\tau)$$

$$\tau : \text{ sampling time, } \tau = (k - \frac{1}{2})T_s$$

Multilevel Signaling

■ Example

The number of messages $M = 256$

The number of bits $n = \log_2 M = 8$ bits

The time span $T_0 = 8$ ms

$$w(t) = \sum_{k=1}^N w_k \phi_k(t) \quad 0 < t < T_0$$

N : number of symbols

For $L = 4$ multilevel signaling,
$$N = \frac{n}{l} = \frac{n}{\log_2 L} = \frac{8}{\log_2 4} = 4$$

l : bits carried by each symbol

A particular message corresponding to the code word 01001110

$$w_1 = -3, w_2 = -1, w_3 = +3, w_4 = +1$$

Binary to Multilevel Conversion

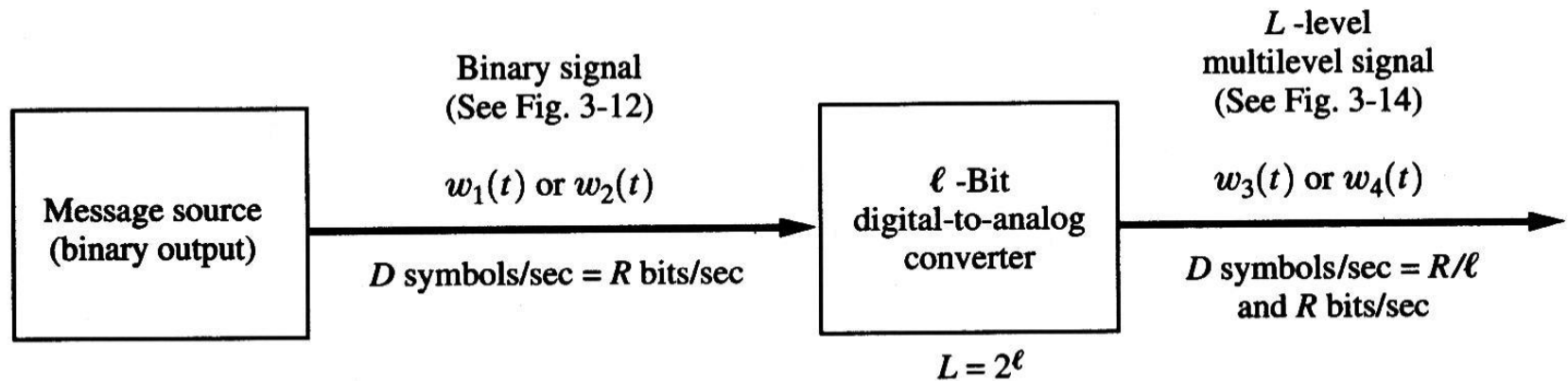


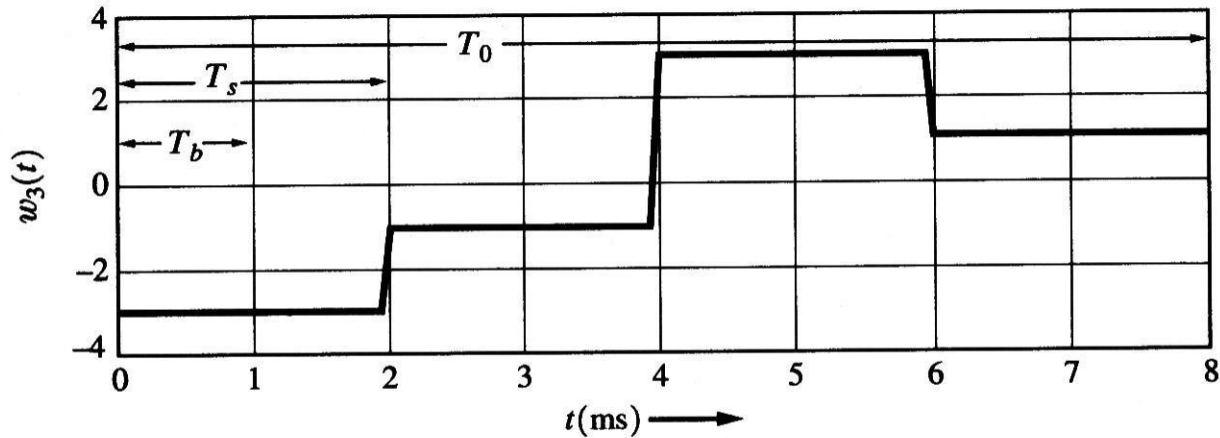
Figure 3-13 Binary-to-multilevel signal conversion.

Encoding Scheme

Binary Input ($l = 2$ bits) Output Level (V)

11	+3
10	+1
00	-1
01	-3

■ CASE 1. RECTANGULAR PULSE ORTHOGONAL FUNCTIONS



(a) Rectangular Pulse Shape $T_b = 1$ ms

$$\varphi_k(t) = \Pi\left(\frac{t - (k - \frac{1}{2})T_s}{T_s}\right)$$

$$T_b = \frac{T_0}{n} = \frac{8}{8} = 1 \text{ ms}, \quad R = \frac{1}{T_b} = \frac{n}{T_0} = 1 \text{ kbits/s}$$

$$N = \frac{n}{l} = 4, \quad D = \frac{1}{T_s} = \frac{N}{T_0} = \frac{n/l}{T_0} = \frac{R}{l} = 500 \text{ baud}$$

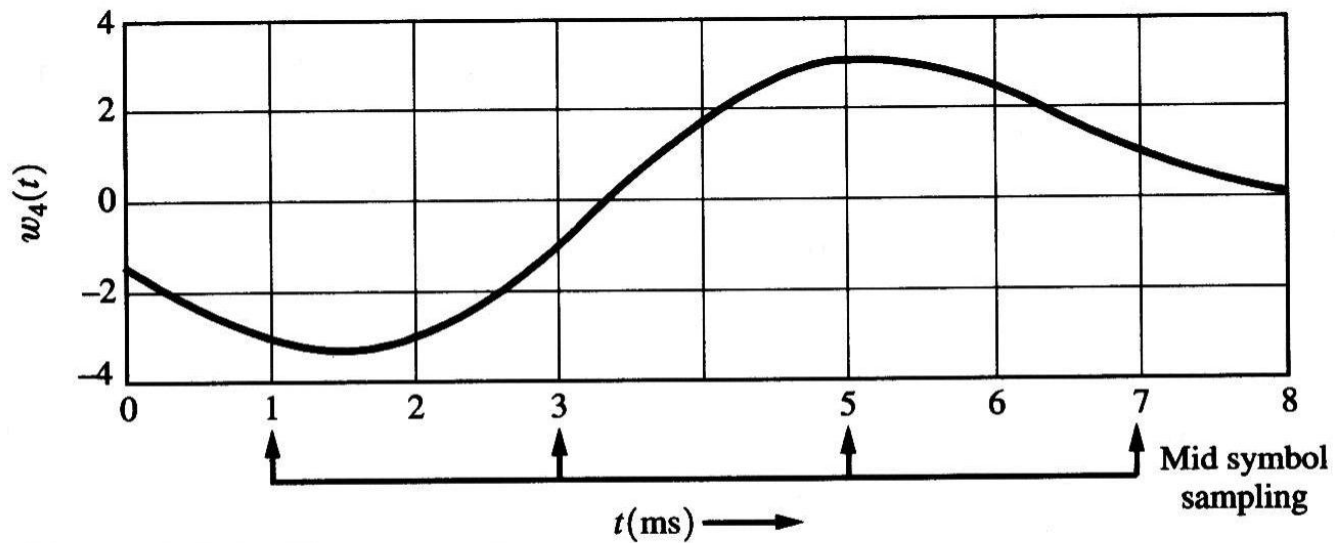
$$B_{null} = \frac{1}{T_s} = D = 500 \text{ Hz}$$

$$K_k = \int_0^{T_0} \varphi_k(t) \varphi_k^*(t) dt = \int_{(k-1)T_s}^{kT_s} (1 \times 1) dt = T_s$$

$$w_k = \frac{1}{K_k} \int_0^{T_0} w(t) \varphi_k^*(t) dt = \frac{1}{T_s} \int_{(k-1)T_s}^{kT_s} w(t) dt = w(\tau)$$

τ : sampling time, $(k-1)T_s < \tau < kT_s$

■ CASE 2. SINC PULSE ORTHOGONAL FUNCTIONS



(b) $\sin(x)/x$ Pulse Shape, $T_b = 1$ ms

Figure 3-14 $L = 4$ -level signaling (computed).

$$\varphi_k(t) = \Pi\left(\frac{t - (k - \frac{1}{2})T_s}{T_s}\right)$$

$$T_b = \frac{T_0}{n} = \frac{8}{8} = 1 \text{ ms}, \quad R = \frac{1}{T_b} = \frac{n}{T_0} = 1 \text{ kbits/s}$$

$$N = \frac{n}{l} = 4, \quad D = \frac{1}{T_s} = \frac{N}{T_0} = \frac{n/l}{T_0} = \frac{R}{l} = 500 \text{ baud}$$

$$B_{abs} = \frac{D}{2} = 250 \text{ Hz}$$

$$w(t) = \sum_{k=1}^N w_k \varphi_k(t) = \sum_{k=1}^N w_k \text{Sa}\left(\frac{\pi(t - (k - \frac{1}{2})T_s)}{T_s}\right)$$

$$w_k = w[(k - \frac{1}{2})T_s] = w(\tau)$$

$$\tau : \text{ sampling time, } \tau = (k - \frac{1}{2})T_s$$

Line Codes

- Binary 1's and 0's may be represented in various serial-bit signaling formats called line codes.
- The following are some of the desirable properties of a line code:
 - Self synchronization
 - Low probability of bit error
 - A spectrum that is suitable for the channel
 - Transmission bandwidth
 - Error detection capability
 - Transparency

Binary Line Codes

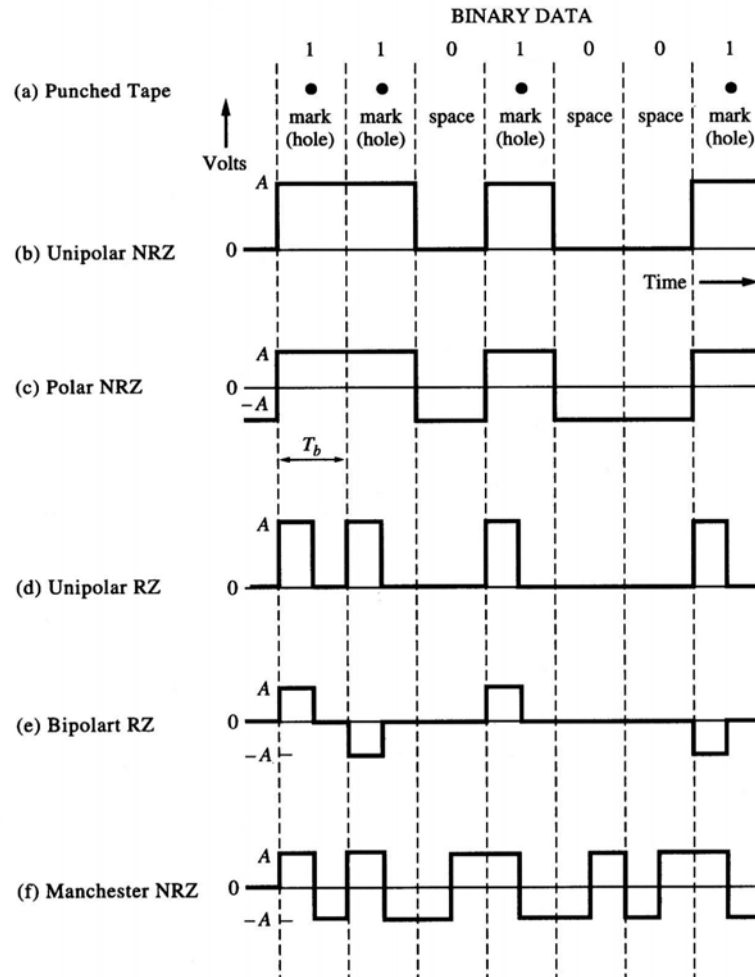


Figure 3-15 Binary signaling formats.

- A digital signal (or line code) can be represented by

$$s(t) = \sum_{n=-\infty}^{\infty} a_n f(t - nT_s)$$

$f(t)$: symbol pulse shape

T_s : symbol interval, $T_s = lT_b$

T_b : bit interval

- The general expression for the PSD of a digital signal is

$$P_s(f) = \frac{|F(f)|^2}{T_s} \sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s}$$

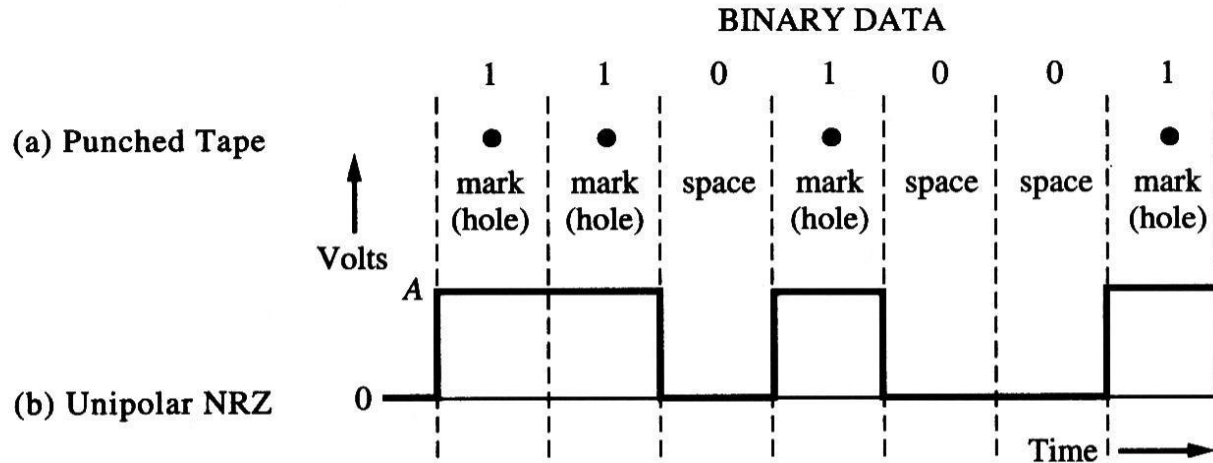
$$F(f) = F[f(t)]$$

$R(k)$: autocorrelation of the data

$$R(k) = \sum_{i=1}^I (a_n a_{n+k})_i P_i$$

P_i : probability of having the i th $a_n a_{n+k}$ product

Unipolar NRZ



$$P(a_n = A) = P(a_n = 0) = \frac{1}{2}$$

$$R(k) = \sum_{i=1}^I (a_n a_{n+k})_i P_i = \begin{cases} \sum_{i=1}^2 (a_n^2)_i P_i = (A \times A) \cdot \frac{1}{2} + (0 \times 0) \cdot \frac{1}{2} = \frac{A^2}{2}, & k = 0 \\ \sum_{i=1}^4 (a_n a_{n+k})_i P_i = (A \times A) \cdot \frac{1}{4} + (A \times 0) \cdot \frac{1}{4} + (0 \times A) \cdot \frac{1}{4} + (0 \times 0) \cdot \frac{1}{4} = \frac{A^2}{4}, & k \neq 0 \end{cases}$$

Unipolar NRZ

$$\sum_{n=-\infty}^{\infty} \delta(t - nT_0) = \sum_{k=-\infty}^{\infty} c_n e^{j2\pi k f_0 t} = f_0 \sum_{k=-\infty}^{\infty} e^{j2\pi k f_0 t} \Rightarrow$$

$$\sum_{n=-\infty}^{\infty} \delta(f - nT_0) = f_0 \sum_{k=-\infty}^{\infty} e^{j2\pi k f f_0} \Rightarrow \sum_{n=-\infty}^{\infty} \delta(f - \frac{n}{T_s}) = T_s \sum_{k=-\infty}^{\infty} e^{j2\pi k f T_s}$$

$$\sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s} = \frac{A^2}{2} + \sum_{\substack{k=-\infty \\ k \neq 0}}^{\infty} \frac{A^2}{4} e^{j2\pi k f T_s} = \frac{A^2}{4} (1 + \sum_{k=-\infty}^{\infty} e^{j2\pi k f T_s}) = \frac{A^2}{4} [1 + \frac{1}{T_s} \sum_{n=-\infty}^{\infty} \delta(f - \frac{n}{T_s})]$$

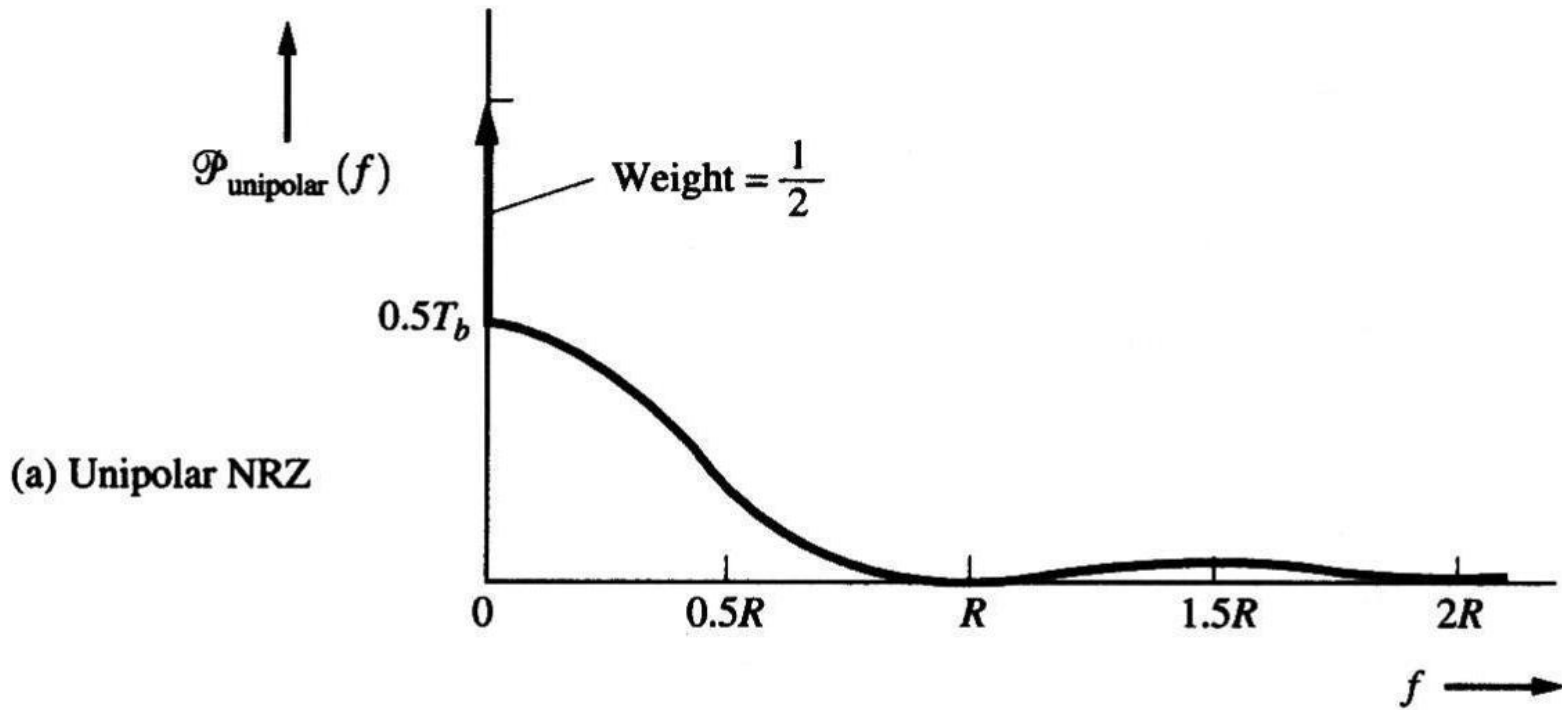
For rectangular pulses

$$f(t) = \Pi\left(\frac{t}{T_s}\right) \leftrightarrow F(f) = T_s Sa(\pi f T_s)$$

$$\begin{aligned} P(f) &= \frac{|F(f)|^2}{T_s} \sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s} = \frac{|T_s Sa(\pi f T_s)|^2}{T_s} \cdot \frac{A^2}{4} [1 + \frac{1}{T_s} \sum_{n=-\infty}^{\infty} \delta(f - \frac{n}{T_s})] \\ &= \frac{A^2 T_s}{4} Sa^2(\pi f T_s) [1 + \frac{1}{T_s} \sum_{n=-\infty}^{\infty} \delta(f - \frac{n}{T_s})] = \frac{A^2 T_s}{4} Sa^2(\pi f T_s) [1 + \frac{1}{T_s} \delta(f)] \end{aligned}$$

Unipolar NRZ

$$\begin{aligned}P &= \int_{-\infty}^{\infty} P(f) df = \int_{-\infty}^{\infty} \frac{A^2 T_s}{4} Sa^2(\pi f T_s) \left[1 + \frac{1}{T_s} \delta(f)\right] df \\&= \frac{A^2 T_s}{4} \left(\int_{-\infty}^{\infty} Sa^2(\pi f T_s) df + \int_{-\infty}^{\infty} Sa^2(\pi f T_s) \frac{1}{T_s} \delta(f) df \right) \\&= \frac{A^2 T_s}{4} \left(\frac{1}{\pi T_s} \int_{-\infty}^{\infty} Sa^2(x) dx + Sa^2(0) \frac{1}{T_s} \right) \\&= \frac{A^2 T_s}{4} \left(\frac{1}{\pi T_s} \cdot \pi + \frac{1}{T_s} \right) = \frac{A^2}{2} \\P = 1 &\Rightarrow A^2 = 2 \Rightarrow A = \sqrt{2} \\P(f) &= \frac{2T_s}{4} Sa^2(\pi f T_s) \left[1 + \frac{1}{T_s} \delta(f)\right] = \frac{T_s}{2} Sa^2(\pi f T_s) + \frac{1}{2} \delta(f) \\&= 0.5 T_b Sa^2(\pi f T_b) + \frac{1}{2} \delta(f) \\B_{null} &= \frac{1}{T_s} = D = R\end{aligned}$$



Sa Waveform NRZ

$$Sa(2\pi Wt) \leftrightarrow \frac{1}{2W} \Pi\left(\frac{f}{2W}\right)$$

For sinc pulses

$$f(t) = Sa\left(\frac{\pi t}{T_s}\right) \leftrightarrow F(f) = T_s \Pi\left(\frac{f}{1/T_s}\right)$$

$$\begin{aligned} P(f) &= \frac{|F(f)|^2}{T_s} \sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s} = \frac{\left|T_s \Pi\left(\frac{f}{1/T_s}\right)\right|^2}{T_s} \cdot \frac{A^2}{4} \left[1 + \frac{1}{T_s} \sum_{n=-\infty}^{\infty} \delta\left(f - \frac{n}{T_s}\right)\right] \\ &= \frac{A^2 T_s}{4} \Pi\left(\frac{f}{1/T_s}\right) \left[1 + \frac{1}{T_s} \sum_{n=-\infty}^{\infty} \delta\left(f - \frac{n}{T_s}\right)\right] = \frac{A^2 T_s}{4} \Pi\left(\frac{f}{1/T_s}\right) \left[1 + \frac{1}{T_s} \delta(f)\right] \\ &= \frac{A^2 T_s}{4} \Pi\left(\frac{f}{1/T_s}\right) \left[1 + \frac{1}{T_s} \delta(f)\right] \end{aligned}$$

$$P = \int_{-\infty}^{\infty} P(f) df = \int_{-\infty}^{\infty} \frac{A^2 T_s}{4} \Pi\left(\frac{f}{1/T_s}\right) \left[1 + \frac{1}{T_s} \delta(f)\right] df$$

$$= \frac{A^2 T_s}{4} \left(\int_{-\infty}^{\infty} \Pi\left(\frac{f}{1/T_s}\right) df + \int_{-\infty}^{\infty} \Pi\left(\frac{f}{1/T_s}\right) \frac{1}{T_s} \delta(f) df \right)$$

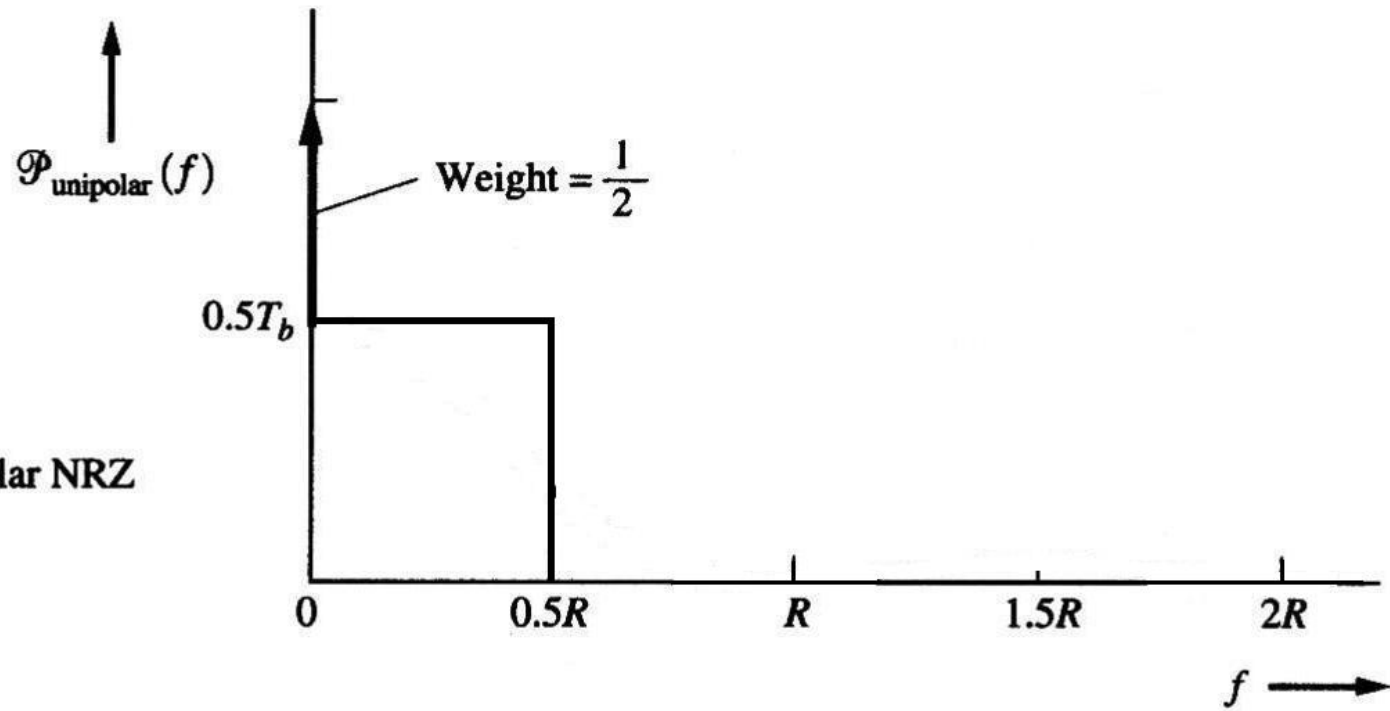
$$= \frac{A^2 T_s}{4} \left(\int_{-1/2T_s}^{1/2T_s} df + \frac{1}{T_s} \right)$$

$$= \frac{A^2 T_s}{4} \left(\frac{1}{T_s} + \frac{1}{T_s} \right) = \frac{A^2}{2}$$

$$P = 1 \Rightarrow A^2 = 2 \Rightarrow A = \sqrt{2}$$

$$P(f) = \frac{2T_s}{4} \Pi\left(\frac{f}{1/T_s}\right) \left[1 + \frac{1}{T_s} \delta(f)\right] = \frac{T_s}{2} \Pi\left(\frac{f}{1/T_s}\right) + \frac{1}{2} \delta(f) = 0.5T_b \Pi\left(\frac{f}{1/T_b}\right) + \frac{1}{2} \delta(f)$$

$$B_{abs} = \frac{1}{2T_s} = \frac{N}{2T_0} = \frac{D}{2} = \frac{R}{2} = \frac{1}{2T_b} \Rightarrow N = 2B_{abs} T_0 \quad (\text{dimensionality theorem})$$

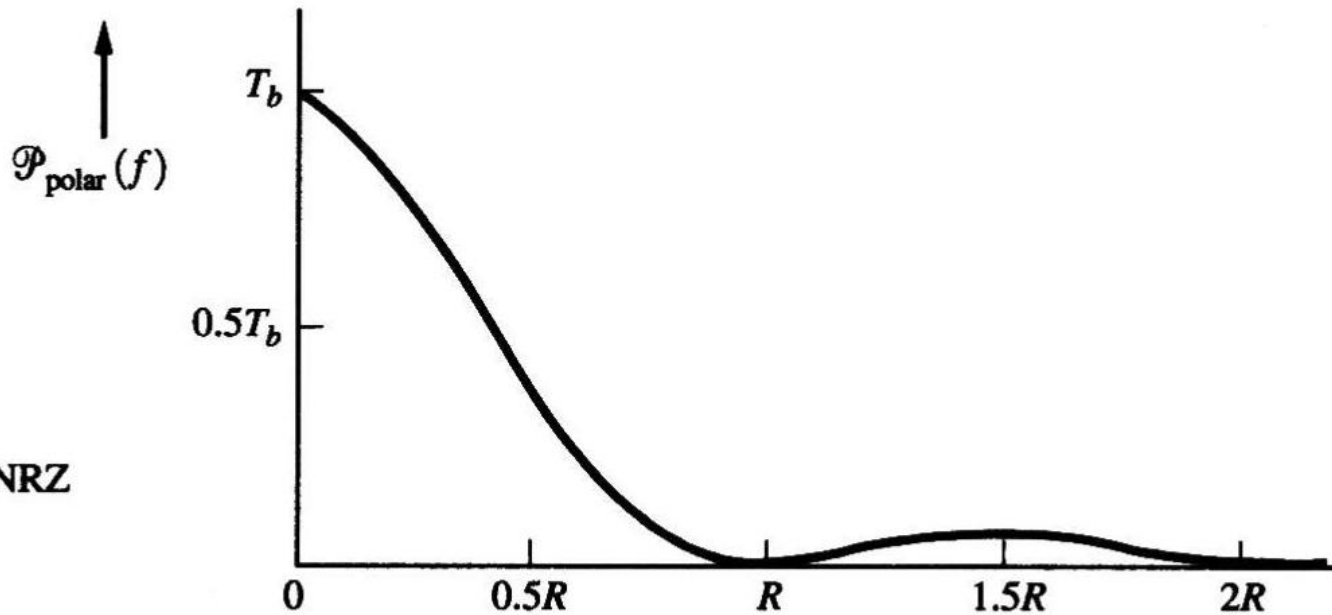


(a) Unipolar NRZ

Polar NRZ

$$P_{\text{polar NRZ}}(f) = A^2 T_b S a^2 (\pi f T_b)$$

$$P = 1 \Rightarrow A = 1$$



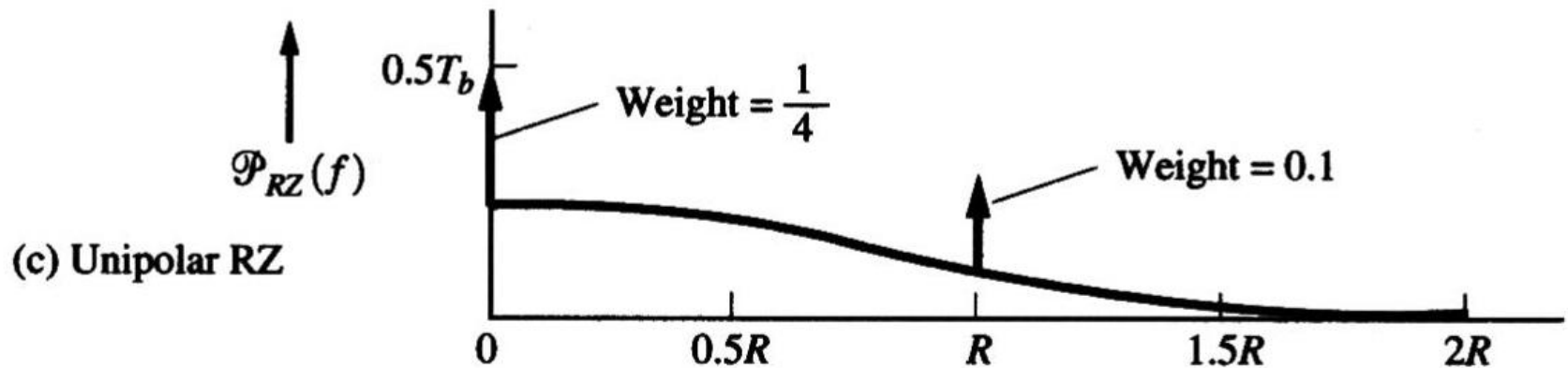
(b) Polar NRZ

Unipolar RZ

$$d = \frac{1}{2}$$

$$P_{\text{unipolar RZ}}(f) = \frac{A^2 T_b}{16} S a^2 (\pi f T_b / 2) \left[1 + \frac{1}{T_b} \sum_{n=-\infty}^{\infty} \delta\left(f - \frac{n}{T_b}\right) \right]$$

$$P = 1 \Rightarrow A = 2$$

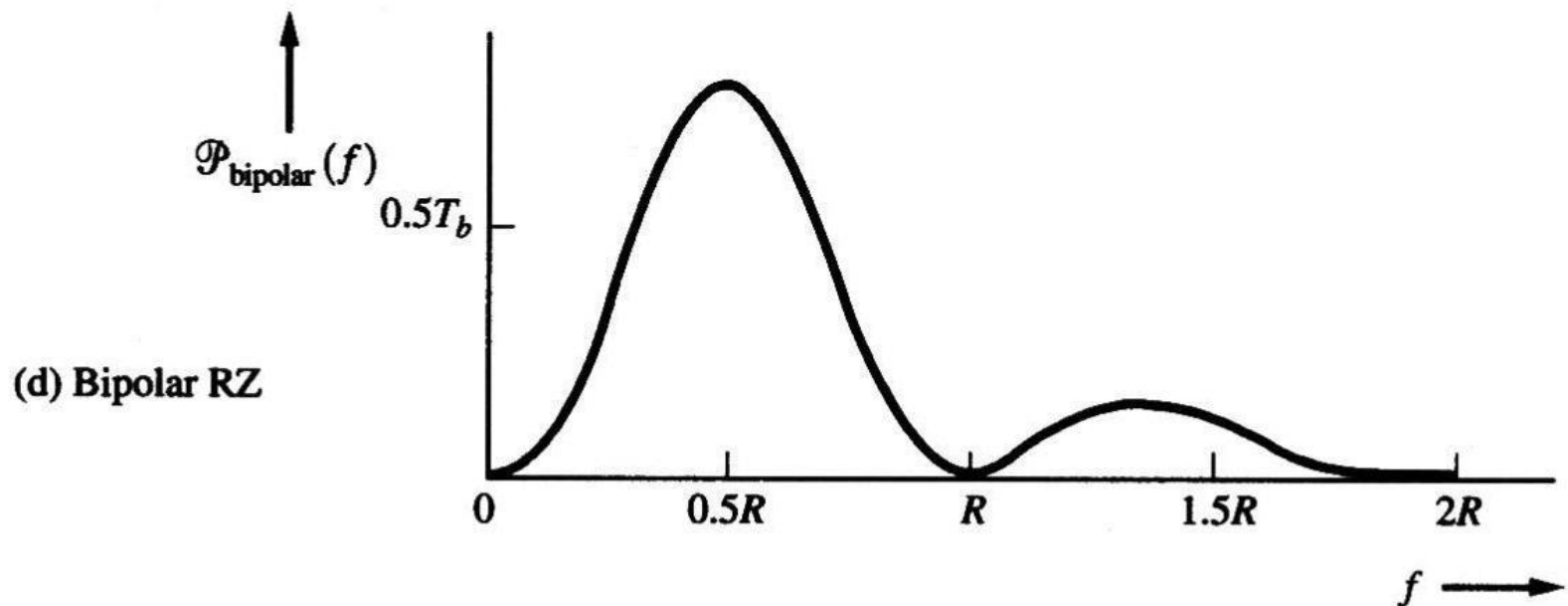


Bipolar RZ

- PSD for bipolar (also known as pseudoternary or AMI) RZ signaling

$$d = \frac{1}{2}$$

$$P_{\text{bipolar RZ}}(f) = \frac{A^2 T_b}{8} \text{Sa}^2(\pi f T_b / 2) \sin^2(\pi f T_b)$$

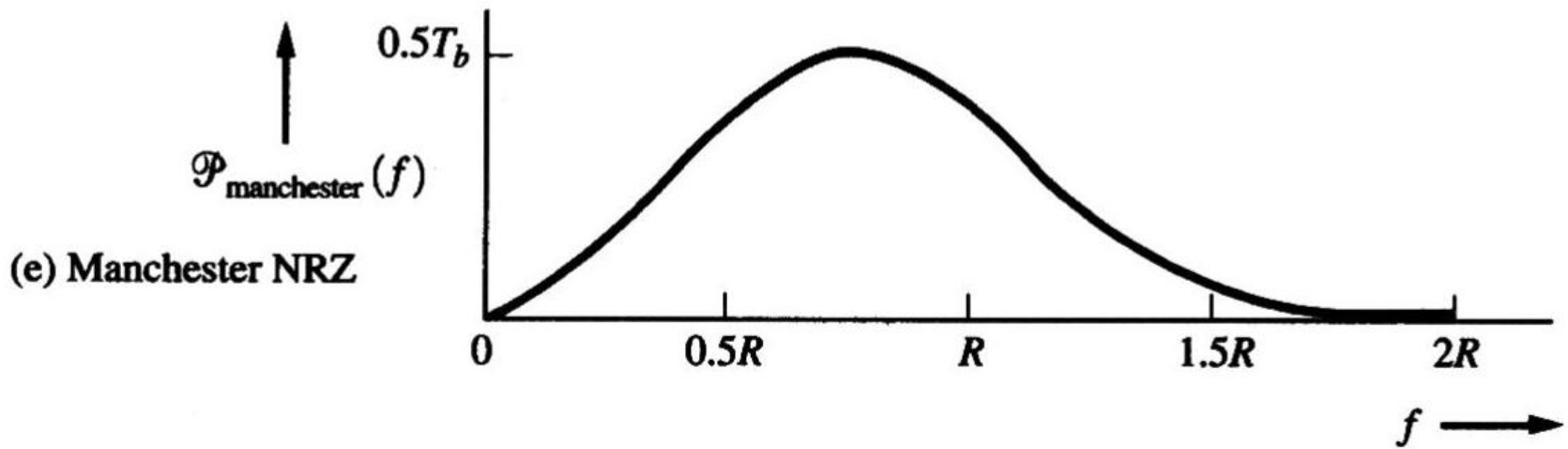


Manchester NRZ

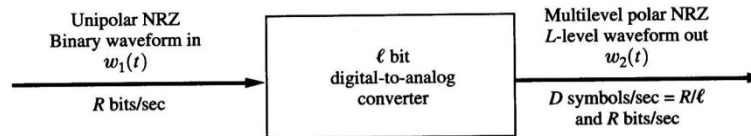
$$f(t) = \Pi\left(\frac{t + T_b/4}{T_b/2}\right) + \Pi\left(\frac{t - T_b/4}{T_b/2}\right)$$

$$P_{\text{Manchester NRZ}}(f) = A^2 T_b \text{Sa}^2(\pi f T_b / 2) \sin^2(\pi f T_b / 2)$$

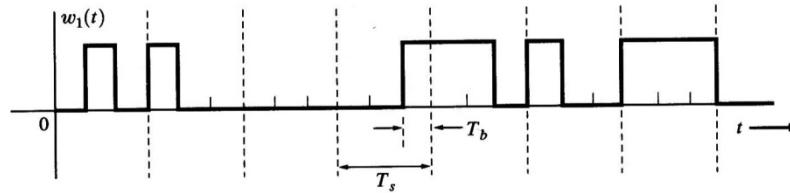
$$P = 1 \Rightarrow A = 1$$



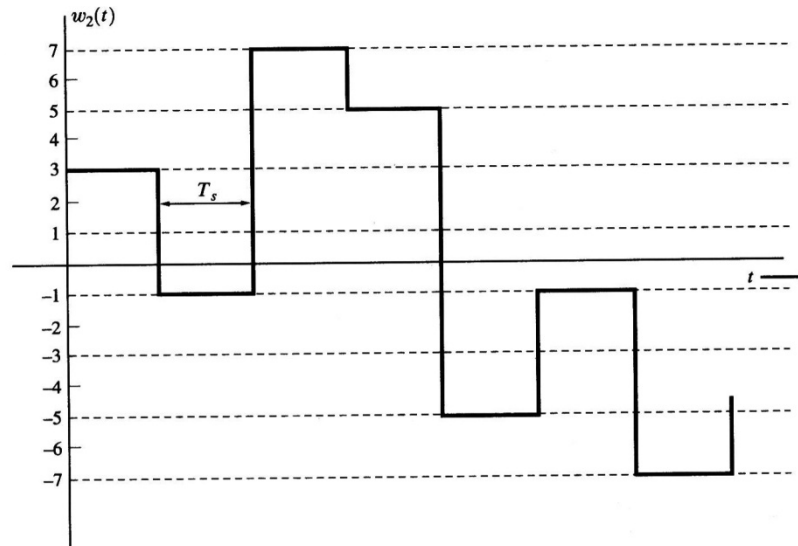
Multilevel NRZ



(a) l Bit Digital-to-Analog Converter



(b) Input Binary Waveform, $w_1(t)$



(c) $L = 8 = 2^3$ Level Polar NRZ Waveform Out

Figure 3-22 Binary-to-multilevel polar NRZ signal conversion.

$$R(0) = \sum_{i=1}^l (a_n)_i^2 P_i = \frac{1}{8} \sum_{i=1}^8 (a_n)_i^2 = \frac{1}{8} [7^2 + 5^2 + 3^2 + 1^2 + (-1)^2 + (-3)^2 + (-5)^2 + (-7)^2] = 21$$

$$R(k) = \sum_{i=1}^l (a_n a_{n+k})_i P_i = \frac{1}{64} \sum_{i=1}^l (a_n a_{n+k})_i$$

$$= \frac{1}{64} [7(7+5+3+1-1-3-5-7) + 5(7+5+3+1-1-3-5-7) + \dots + (-7)(7+5+3+1-1-3-5-7)]$$

$$= 0, \quad k \neq 0$$

For rectangular pulses $f(t) = \Pi\left(\frac{t}{T_s}\right) \leftrightarrow F(f) = T_s \text{Sa}(\pi f T_s)$

$$P_{8\text{-level NRZ}}(f) = \frac{|F(f)|^2}{T_s} \sum_{k=-\infty}^{\infty} R(k) e^{j2\pi k f T_s} = \frac{|T_s \text{Sa}(\pi f T_s)|^2}{T_s} 21 = 21 T_s \text{Sa}^2(\pi f T_s) = 63 T_b \text{Sa}^2(3\pi f T_b)$$

$$B_{\text{null}} = \frac{1}{T_s} = D = \frac{R}{l}$$

For sinc pulses: $P_{8\text{-level NRZ}}(f) = 21 T_s \Pi\left(\frac{f}{1/T_s}\right)$, $B_{\text{abs}} = \frac{1}{2T_s} = \frac{D}{2} = \frac{R}{2l}$ (dimensionality theorem)

- In general, for the case of a multilevel polar NRZ signal with rectangular pulse shape

$$P_{\text{multilevel NRZ}}(f) = K S a^2 (l \pi f T_b)$$

$$B_{\text{null}} = \frac{R}{l}$$

- Multilevel signaling is used to reduce the bandwidth of a digital signal compared with the bandwidth required for binary signaling.

Spectral Efficiency

- The spectral efficiency of a digital signal is given by the number of bits per second of data that can be supported by each hertz of bandwidth. That is,

$$\eta = \frac{R}{B} \text{ (bits/s)/Hz}$$

TABLE 3-6 SPECTRAL EFFICIENCIES OF LINE CODES

Code Type	First Null Bandwidth (Hz)	Spectral Efficiency $\eta = R/B$ [(bits/s)/Hz]
Unipolar NRZ	R	1
Polar NRZ	R	1
Unipolar RZ	$2R$	$\frac{1}{2}$
Bipolar RZ	R	1
Manchester NRZ	$2R$	$\frac{1}{2}$
Multilevel polar NRZ	R/ℓ	ℓ

Homework

- LC 3-20, 3-23, 3-26, 3-32, 3-36

