Principles of Communication

# Baseband Digital System (1)

LC 2-7, 3-2

Lecture 12, 2008-10-21

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- Sampling Theorem
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## Sampling Theorem

■ (Shannon) Sampling theorem. Any physical waveform can be represented over the interval  $-\infty < t < \infty$  by

$$w(t) = \sum_{n=-\infty}^{\infty} a_n \frac{\sin\{\pi f_s[t - (n/f_s)]\}}{\pi f_s[t - (n/f_s)]}$$

where

$$a_{n} = f_{s} \int_{-\infty}^{\infty} w(t) \frac{\sin\{\pi f_{s}[t - (n/f_{s})]\}}{\pi f_{s}[t - (n/f_{s})]} dt$$

where  $f_s$  is a parameter that is assigned some convenient value greater than zero. Furthermore, if w(t) is bandlimited to *B* hertz and  $f_s \ge 2B$ , then

$$a_n = w(n / f_s)$$

That is, for  $f_s \ge 2B$  the orthogonal series coefficients are simply the values of the waveform that are obtained when the waveform is sampled every  $1/f_s$  seconds.

#### Proof

$$\begin{split} \varphi_{n}(t) &= \frac{\sin\{\pi f_{s}[t - (n/f_{s})]\}}{\pi f_{s}[t - (n/f_{s})]} \\ &: \int_{-\infty}^{\infty} \varphi_{n}(t)\varphi_{m}^{*}(t)dt = \int_{-\infty}^{\infty} \Phi_{n}(f)\Phi_{m}^{*}(f)df = \int_{-\infty}^{\infty} [\frac{1}{f_{s}}\Pi(\frac{f}{f_{s}})e^{-j2\pi fn/f_{s}}][\frac{1}{f_{s}}\Pi(\frac{f}{f_{s}})e^{-j2\pi fn/f_{s}}]^{*}df \\ &= \frac{1}{f_{s}^{2}}\int_{-f_{s}/2}^{f_{s}/2} e^{-j2\pi f(n-m)f/f_{s}}df = \begin{cases} \frac{1}{f_{s}}, & n = m \\ 0, & n \neq m \end{cases} = \frac{1}{f_{s}}\delta_{nm} = K_{n}\delta_{nm} \\ &: \{\varphi_{n}(t)\} \text{ are orthogonal with } K_{n} = \frac{1}{f_{s}}. \\ &: w(t) = \sum_{n} a_{n}\varphi_{n}(t) = \sum_{n} a_{n}\frac{\sin\{\pi f_{s}[t - (n/f_{s})]\}}{\pi f_{s}[t - (n/f_{s})]} \\ &= \frac{1}{K_{n}}\int_{-\infty}^{\infty} w(t)\varphi_{n}^{*}(t)dt = f_{s}\int_{-\infty}^{\infty} w(t)\frac{\sin\{\pi f_{s}[t - (n/f_{s})]\}}{\pi f_{s}[t - (n/f_{s})]}dt \end{split}$$

## Proof (con't)

If 
$$W(f) = 0$$
, for  $|f| > 2B$  then  
 $a_n = \frac{1}{K_n} \int_{-\infty}^{\infty} w(t) \varphi_n^*(t) dt = \frac{1}{K_n} \int_{-\infty}^{\infty} W(f) \Phi_n^*(f) df$   
 $= f_s \int_{-\infty}^{\infty} W(f) [\frac{1}{f_s} \prod(\frac{f}{f_s}) e^{-j2\pi fn/f_s}]^* df = \int_{-\min(B, f_s/2)}^{\min(B, f_s/2)} W(f) e^{j2\pi fn/f_s} df$   
 $= \int_{-B}^{B} W(f) e^{j2\pi fn/f_s} df = \int_{-\infty}^{\infty} W(f) e^{j2\pi fn/f_s} df = w(n/f_s)$ 

It is obvious that the sampling rate allowed to reconstruct a bandlimited waveform without error is given by, Nyquist Frequency

$$f_s \ge 2B$$

#### People



Harry Nyquist Nyquist Theorem (1928)



Claude Elwood Shannon Information Theory (1948)

#### Waveform Reconstructed from Sampling Values

Suppose that we are interested in reproducing the waveform over a  $T_0$  interval. Then we can truncate the sampling function series so that we include only *N* of the  $\varphi_n(t)$  functions that have their peaks within the  $T_0$  interval of interest.

$$w(t) = \sum_{n=-\infty}^{\infty} w(n/f_s) \frac{\sin\{\pi f_s[t - (n/f_s)]\}}{\pi f_s[t - (n/f_s)]}$$
  
$$\approx \sum_{n=n_1}^{n_1+N-1} w(n/f_s) \frac{\sin\{\pi f_s[t - (n/f_s)]\}}{\pi f_s[t - (n/f_s)]}$$

The minimum number of samples  $w(n/f_n)$  that are needed to reconstructed the waveform is

$$N = \frac{T_0}{1/f_s} = f_s T_0 \ge 2BT_0$$

#### Example (1)



Figure 2–17 Sampling theorem.

### Example (2)

#### Nyquist sampling in Digital Microscopy





Appropriate Sampling

Under-Sampling

### Pulse Amplitude Modulation

- Pulse amplitude modulation (PAM) is an engineering term that is used to describe the conversion of the analog signal to a pulsetype signal in which the amplitude of the pulse denotes the analog information.
- If w(t) is an analog waveform bandlimited to *B*, the PAM signal that uses natural sampling (gating) is

$$w_s(t) = w(t)s(t) = w(t)\sum_{k=-\infty}^{\infty} \prod\left(\frac{t-kT_s}{\tau}\right)$$



Figure 3-2 Generation of PAM with natural sampling (gating).

#### Example



Figure 3-1 PAM signal with natural sampling.

#### Spectrum of Naturally Sampled PAM

The spectrum for a naturally sampled PAM signal is



(b) Magnitude Spectrum of PAM (natural sampling) with d = 1/3 and  $f_s = 4$  B

Figure 3–3 Spectrum of a PAM waveform with natural sampling.

#### Proof

$$w_{s}(t) = w(t)s(t)$$

$$W_{s}(f) = W(f) * S(f) = W(f) * \sum_{n=-\infty}^{\infty} c_{n}\delta(f - nf_{s}) \quad (2-109)$$

$$= \sum_{n=-\infty}^{\infty} c_{n}W(f) * \delta(f - nf_{s})$$

$$= \sum_{n=-\infty}^{\infty} c_{n}W(f - nf_{s})$$

$$c_{n} = \frac{1}{T_{s}} \int_{-\frac{T_{s}}{2}}^{\frac{T_{s}}{2}} \Pi\left(\frac{t}{\tau}\right) e^{-jn\omega_{0}t} dt = \frac{1}{T_{s}} \tau Sa(\pi \frac{n}{T_{s}}\tau) = dSa(\pi nd) \quad (2-89)$$

$$W_{s}(f) = d\sum_{n=-\infty}^{\infty} Sa(\pi nd)W(f - nf_{s})$$

## Example

The bandwidth of the PAM signal is much larger than the bandwidth of the original analog signal



(b) Magnitude Spectrum of PAM (natural sampling) with d = 1/3 and  $f_s = 4$  B

## **PAM Demodulation**

At the receiver, w(t) can be recovered by passing the PAM signal through an LPF



(b) Magnitude Spectrum of PAM (natural sampling) with d = 1/3 and  $f_s = 4$  B

#### **PAM Demodulation**

- Why we need a product detector when a simple low-pass filter can work?
- Because of noise on the PAM signal. Noise due to power supply hum or mechanical circuit vibration might fall in the band corresponding to n=0, and Other bands might be relatively noise free.



## Instantaneous Sampling

If w(t) is an analog waveform bandlimited to *B*, the PAM signal that uses instantaneous sampling is

$$w_s(t) = \sum_{k=-\infty}^{\infty} w(kT_s)h(t - kT_s)$$

where h(t) denotes the sampling-pulse.

$$w_s(t) = \sum_{k=-\infty}^{\infty} w(kT_s) \prod(\frac{t-kT_s}{T_s})$$

#### Example



 $(c) \text{ Resulting PAM Signal (flat-top sampling, <math>d = \tau/T_s = 1/3$ )

Figure 3-5 PAM signal with flat-top sampling.

#### Spectrum of Instantaneous Sampling PAM

The spectrum for a instantaneous sampled PAM signal is

$$W_{s}(f) = F[w_{s}(t)] = \frac{1}{T_{s}}H(f)\sum_{n=-\infty}^{\infty}W(f - nf_{s}) = \frac{\tau}{T_{s}}Sa(\pi f\tau)\sum_{n=-\infty}^{\infty}W(f - nf_{s})$$



(b) Magnitude Spectrum of PAM (flat-top sampling),  $\tau/T_s = 1/3$  and  $f_s = 4B$ 

Figure 3-6 Spectrum of a PAM waveform with flat-top sampling.

## Proof

$$\begin{split} w_{s}(t) &= \sum_{k} w(kT_{s})h(t - kT_{s}) = \sum_{k} w(kT_{s})[h(t) * \delta(t - kT_{s})] \\ &= \sum_{k} h(t) * [w(kT_{s})\delta(t - kT_{s})] = \sum_{k} h(t) * [w(t)\delta(t - kT_{s})] \\ &= h(t) * [\sum_{k} w(t)\delta(t - kT_{s})] \\ &= h(t) * [w(t)\sum_{k} \delta(t - kT_{s})] \\ &W_{s}(f) = H(f)[W(f) * f_{s}\sum_{n} \delta(f - kf_{s})] \\ &= H(f)f_{s}[W(f) * \sum_{n} \delta(f - kf_{s})] \\ &= H(f)f_{s}[\sum_{n} W(f) * \delta(f - kf_{s})] = H(f)f_{s}\sum_{n} W(f - kf_{s}) \\ &= \frac{1}{T_{s}} H(f)\sum_{n} W(f - kf_{s}) \end{split}$$

## Example

The bandwidth of the PAM signal is much larger than the bandwidth of the original analog signal



(b) Magnitude Spectrum of PAM (flat-top sampling),  $\tau/T_s = 1/3$  and  $f_s = 4B$ 

## Recover from PAM

At the receiver, w(t) can be recovered by passing the PAM signal through an LPF

$$W(f) = W_s(f) \Pi(\frac{f}{f_{cutoff}}) \quad (B < f_{cutoff} < f_s - B)$$

There is some high-frequency loss in the recovered analog waveform. This loss, if significant, can be reduced by decreasing r, or by using some additional gain at the high frequencies in the LPF transfer function, the LPF would be called an equalization filter and have a transfer function of

$$\frac{1}{H(f)}$$

■ The pulse width  $\tau$  is also called the aperture since  $\tau/T_s$  determines the gain of the recovered analog signal, which is small if  $\tau$  is small relative to  $T_{s.}$ 

#### Summary

- The transmission of either naturally or instantaneously sampled PAM over a channel requires a very wide frequency response because of the narrow pulse width, which imposes stringent requirements on the magnitude and phase response of the channel.
- The bandwidth required is much larger than that of the original analog signal, and the noise performance of the PAM system can never be better than the analog system. Therefore, PAM is not very good for long-distance tranmission.
- It provides a method for A/D conversion.
- Multiple PAM signals from different sources can be interleaved to transmit all of the information over a single channel. TDM

#### Homework

#### ■ LC 3-2, 3-3, 3-4, 3-5

